

# **Game Theory, Topology and Proof Theory for Functional Programming (“Practical” slides)**

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**This set of slides follows quite closely the paper**

M.H. Escardó and Paulo Oliva. What Sequential Games, the Tychonoff Theorem and the Double-Negation Shift have in Common. MSFP'2010.

## Selection functions

The type of selection functions is

```
type J r x = (x -> r) -> x
```

## Quantifiers

The type of quantifiers is

```
type K r x = (x -> r) -> r
```

## Monad morphism from $J\ r$ to $K\ r$

Before we know what a monad is.

Cf. [Functional programming](#) and [category theory](#) courses.

```
overline :: J r x -> K r x
overline e = \p -> p(e p)
```

The Haskell notation

`overline e`

corresponds to the mathematical notation

$\overline{e}$ .

This operation transforms [selection functions](#) into [quantifiers](#).

## Terminology and notation

Terminology	Mathematics	Haskell	Type
predicate	$p, q$	<code>p, q</code>	<code>x -&gt; r</code>
selection function	$\varepsilon, \delta$	<code>e, d</code>	<code>J r x = ((x -&gt; r) -&gt; x)</code>
quantifier	$\phi, \gamma$	<code>phi, gamma</code>	<code>K r x = ((x -&gt; r) -&gt; r)</code>

## Selection functions for sets

A selection function for a set finds an element for which a given predicate holds.

$$\begin{cases} S \subseteq X \\ p: X \rightarrow \text{Bool} \\ \varepsilon(p) \in S \end{cases}$$

1. We require our selection functions to be **total**.
2. We select an arbitrary element of  $S$  if none satisfies  $p$ .
3. This forces  $S$  to be non-empty.

## Example

```
find :: [x] -> J Bool x
find []      p = undefined
find [x]     p = x
find (x:xs)  p = if p x then x else find xs p
```

```
forsome, forevery :: [x] -> K Bool x
forsome = overline.find
forevery xs p = not(forsome xs (not.p))
```

Or, expanding the definitions,

```
forsome xs p = p(find xs p)
```



## Hilbert's $\varepsilon$ -calculus

Our definition of the existential quantifier is the same as in Hilbert's  $\varepsilon$ -calculus:

$$\exists x p(x) \iff p(\varepsilon(p)).$$

The definition of **forevery** uses the De Morgan Law for quantifiers,

$$\forall x p(x) \iff \neg \exists x \neg p(x).$$

**E.g.**

```
find      [1..100] (\x -> odd x && x > 17) = 19
forsome   [1..100] (\x -> odd x && x > 17) = True

find      [1..100] (\x -> odd x && even x) = 100
forsome   [1..100] (\x -> odd x && even x) = False
```

As already discussed, we are interested in finite non-empty lists only, to make sure the produced selection function is total.

## Summary so far

A selection function  $\varepsilon$  for a set  $S$  has to satisfy:

1.  $\varepsilon(p) \in S$ , whether or not there actually is some  $x \in S$  such that  $p(x)$  holds.
2. If  $p(x)$  holds for some  $x \in S$ , then it holds for  $x = \varepsilon(p)$ .

The first condition forces the set  $S$  to be non-empty.

## Selection function for the booleans

We shall need this later:

```
findBool :: J Bool Bool  
findBool p = p True
```

This is equivalent to

```
findBool p = if p True then True else False
```

## Selection functions for quantifiers

If  $\phi(p)$  stands for  $\exists x \in S p(x)$ , then Hilbert's condition can be written as

$$\phi(p) = p(\varepsilon(p)),$$

or equivalently,

$$\phi = \bar{\varepsilon}.$$

If this equation holds, we say that  $\varepsilon$  is a selection function for the quantifier  $\phi$ .

Thus, a selection function for a set  $S$  is the same thing as a selection function for the existential quantifier of  $S$ .

## Example: selection function for a universal quantifier

When  $\phi(p)$  is the universal quantifier  $\forall x \in S \ p(x)$  of the set  $S$ , this amounts to

1.  $\varepsilon(p) \in S$ .
2. If  $p(x)$  holds for  $x = \varepsilon(p)$ , then it holds for all  $x \in S$ .

## A selection function for the universal quantifier of a finite set

```
findnot :: [x] -> J Bool x
findnot []      p = undefined
findnot [x]    p = x
findnot (x:xs) p = if p x then findnot xs p else x
```

This satisfies

```
findnot xs p = find xs (not.p)
```

and the function `forevery` defined earlier satisfies

```
forevery = overline.findnot
```

or, expanding the definitions,

```
forevery :: [x] -> K Bool x
forevery s p = p(findnot s p)
```

## Another useful instance of $r$

Consider “predicates” that give **numbers** rather than **boolean** truth values.

E.g., the predicate may assign prices to goods.

1. Find the **price** of the most expensive good.

This is done by a **quantifier**, called **sup**.

$$(\text{Good} \rightarrow \text{Price}) \rightarrow \text{Price}.$$

2. Find the **most expensive good**.

This is done by a **selection function**, called **argsup**.

$$(\text{Good} \rightarrow \text{Price}) \rightarrow \text{Good}.$$



## Haskell code

```
argsup, arginf :: [x] -> J Int x
argsup [] p = undefined
argsup [x] p = x
argsup (x:y:zs) p = if p x < p y
                    then argsup (y:zs) p
                    else argsup (x:zs) p
```

These will be useful for two-player games in which there can be a draw.

## Binary product of quantifiers and selection functions

In every pub there are a man  $a_0$  and a woman  $a_1$  such that if  $a_0$  buys a drink for  $a_1$  then every man buys a drink for some woman.

## Binary product of quantifiers and selection functions

In every pub there are a man  $a_0$  and a woman  $a_1$  such that if  $a_0$  buys a drink for  $a_1$  then every man buys a drink for some woman.

If  $X_0 =$  set of men and  $X_1 =$  set of women, and if we define  $\phi = \forall \otimes \exists$  by

$$\phi(p) = (\forall x_0 \in X_0 \exists x_1 \in X_1 p(x_0, x_1)),$$

then this amounts to saying that

$$\phi(p) = p(a)$$

for a suitable pair  $a = (a_0, a_1) \in X_0 \times X_1$ ,

Our objective is to calculate such a pair.

## Binary product of quantifiers and selection functions

We have selection functions for  $\forall$  and  $\exists$ , say  $\varepsilon_0$  and  $\varepsilon_1$ .

We need a selection function  $\varepsilon = \varepsilon_0 \otimes \varepsilon_1$  for the quantifier  $\phi = \forall \otimes \exists$ .

## Binary product of quantifiers

It is easy to define the product  $\otimes$  of quantifiers, generalizing from the previous example, where  $\phi = \phi_0 \otimes \phi_1$  for  $\phi_0 = \forall$  and  $\phi_1 = \exists$ :

$$(\phi_0 \otimes \phi_1)(p) = \phi_0(\lambda x_0. \phi_1(\lambda x_1. p(x_0, x_1))).$$

## Binary products of selection functions

The definition of the product of selection functions is a bit subtler:

$$\begin{aligned}(\varepsilon_0 \otimes \varepsilon_1)(p) &= (a_0, a_1) \\ \text{where } a_0 &= \varepsilon_0(\lambda x_0. \bar{\varepsilon}_1(\lambda x_1. p(x_0, x_1))) \\ a_1 &= \varepsilon_1(\lambda x_1. p(a_0, x_1)).\end{aligned}$$

**Example:**  $\varepsilon_0$  finds elements in a set  $S_0$ ,  
and  $\bar{\varepsilon}_1$  existentially quantifies over the set  $S_1$ .

$$\begin{aligned}(\varepsilon_0 \otimes \varepsilon_1)(p) &= (a_0, a_1) \\ \text{where } a_0 &= \text{find } x_0 \in S_0 \text{ such that } \exists x_1 \in S_1 \text{ such that } p(x_0, x_1) \text{ holds,} \\ a_1 &= \text{find } x_1 \in S_1 \text{ such that } p(a_0, x_1) \text{ holds.}\end{aligned}$$

## Exercise

We need to check that

$$\overline{\varepsilon_0} \otimes \overline{\varepsilon_1} = \overline{\varepsilon_0 \otimes \varepsilon_1},$$

which amounts to

$$\text{if } \phi_0 = \overline{\varepsilon_0} \text{ and } \phi_1 = \overline{\varepsilon_1}, \text{ then } \phi_0 \otimes \phi_1 = \overline{\varepsilon_0 \otimes \varepsilon_1}.$$

## Solution of the problem

The required man and woman can be calculated with the formula

$$(a_0, a_1) = (\varepsilon_0 \otimes \varepsilon_1)(p),$$

where  $\varepsilon_0$  and  $\varepsilon_1$  are selection functions for the quantifiers  $\forall$  and  $\exists$  respectively.



## **We finished the second lecture here**

We also used the whiteboard to define the functor and unit of what will be the quantifier (=continuation) and selection monads.

## Summary of the last problem and its solution

Start with two sets  $X_0$  and  $X_1$ .

Define the combined quantifier  $\phi = \forall \otimes \exists$  by

$$\phi(p) = (\forall x_0 \in X_0 \exists x_1 \in X_1 p(x_0, x_1)).$$

Given  $p$ , we want to find a pair  $a = (a_0, a_1) \in X_0 \times X_1$  such that

$$\phi(p) = p(a).$$

We calculate it as  $a = (\varepsilon_0 \otimes \varepsilon_1)(p)$ ,

where  $\varepsilon_0$  and  $\varepsilon_1$  are selection functions for the quantifiers  $\phi_0 = \forall$  and  $\phi_1 = \exists$ .

## Summary of the definitions of $\otimes$

$$(\phi_0 \otimes \phi_1)(p) = \phi_0(\lambda x_0. \phi_1(\lambda x_1. p(x_0, x_1))).$$

$$\begin{aligned} (\varepsilon_0 \otimes \varepsilon_1)(p) &= (a_0, a_1) \\ \text{where } a_0 &= \varepsilon_0(\lambda x_0. \overline{\varepsilon_1}(\lambda x_1. p(x_0, x_1))) \\ a_1 &= \varepsilon_1(\lambda x_1. p(a_0, x_1)). \end{aligned}$$

Actually, both of them can be defined in the same way in terms of the strengths of the monads.

See the other set of slides, and the Agda code supplied together with the MSFP'2010 paper. This will be material for the next exercise class too.

## Iterated product

Given a sequence of sets  $X_0, X_1, \dots, X_n, \dots$ , write

$$\prod_{i < n} X_i = X_0 \times \cdots \times X_{n-1}.$$

We define  $\otimes: \prod_{i < n} JRX_i \rightarrow JR \prod_{i < n} X_i$ , by induction on  $n$ :

$$\bigotimes_{i < 0} \varepsilon_i = \lambda p.(), \quad \bigotimes_{i < n+1} \varepsilon_i = \varepsilon_0 \otimes \bigotimes_{i < n} \varepsilon_{i+1}.$$

Informally, and assuming right associativity of  $\otimes$ ,

$$\bigotimes_{i < n} \varepsilon_i = \varepsilon_0 \otimes \varepsilon_1 \otimes \cdots \otimes \varepsilon_{n-1}$$

## Main property of products of selection functions

Iterated products of quantifiers can be defined in the same way, and

$$\bigotimes_{i < n} \overline{\varepsilon_i} = \overline{\bigotimes_{i < n} \varepsilon_i}.$$

The products are of quantifiers in the left-hand side and of selection functions in the right-hand side.

## Haskell code

```
otimes :: J r x -> J r [x] -> J r [x]
otimes e0 e1 p = a0:a1
  where a0 = e0(\x0 -> overline e1(\x1 -> p(x0:x1)))
        a1 = e1(\x1 -> p(a0:x1))

bigotimes :: [J r x] -> J r [x]
bigotimes [] = \p -> []
bigotimes (e:es) = e 'otimes' bigotimes es
```

Although we have motivated this algorithm by considering finite lists, it does make sense for infinite lists too, as we shall see in due course.

## Expanding the above definitions:

```
bigotimes :: [(x -> r) -> x] -> ([x] -> r) -> [x]
bigotimes [] p = []
bigotimes (e : es) p = a : bigotimes es (p.(a:))
  where a = e(\x -> p(x : bigotimes es (p.(x:))))
```

This was actually the original algorithm, back in 2006, in LICS'2007 and LMCS'2008.

Notice that `a` is used twice.

In Haskell, `a` is evaluated at most once. And often zero times.

In fact, in the infinite case, the recursion terminates when `p` doesn't use `a`.

The run-time in Haskell is exponential. In OCaml it is doubly exponential.

## Playing games

1. Products of selection functions compute **optimal plays** and **strategies**.
2. Need to generalize products in order to account for **history dependent** games.
3. Give concise implementations of **Tic-Tac-Toe** and  **$n$ -Queens** as illustrations.



## First example

Alternating, two-person game that finishes after exactly  $n$  moves.

1. Eloise plays first, against Abelard. One of them wins (no draw).
2. The  $i$ -th move is an element of the set  $X_i$ .
3. The game is defined by a predicate  $p: \prod_{i < n} X_i \rightarrow \text{Bool}$  that tells whether Eloise wins a given play  $x = (x_0, \dots, x_{n-1})$ .
4. Eloise has a winning strategy for the game  $p$  if and only if

$$\exists x_0 \in X_0 \forall x_1 \in X_1 \exists x_2 \in X_2 \forall x_3 \in X_3 \cdots p(x_0, \dots, x_{n-1}).$$

## First example

4. Eloise has a winning strategy for the game  $p$  if and only if

$$\exists x_0 \in X_0 \forall x_1 \in X_1 \exists x_2 \in X_2 \forall x_3 \in X_3 \cdots p(x_0, \dots, x_{n-1}).$$

If we define

$$\phi_i = \begin{cases} \exists_{X_i} & \text{if } i \text{ is even,} \\ \forall_{X_i} & \text{if } i \text{ is odd,} \end{cases}$$

then this condition for Eloise having a winning strategy can be equivalently expressed as

$$\left( \bigotimes_{i < n} \phi_i \right) (p).$$

## Calculating the optimal outcome of a game

More generally, the value

$$\left( \bigotimes_{i < n} \phi_i \right) (p)$$

gives the **optimal outcome** of the game.

This takes place when all players play as best as they can.

In the first example, the optimal outcome is **True** if Eloise has a winning strategy, and **False** if Abelard has a winning strategy.

## Calculating an optimal play

Suppose each quantifier  $\phi_i$  has a selection function  $\varepsilon_i$  (thought of as a policy function for the  $i$ -th move).

**Theorem.** The sequence

$$a = (a_0, \dots, a_{n-1}) = \left( \bigotimes_{i < n} \varepsilon_i \right) (p)$$

is an **optimal play**.

This means that for every stage  $i < n$  of the game, the move  $a_i$  is optimal given that the moves  $a_0, \dots, a_{i-1}$  have been played.

## Finding an optimal strategy

For a **partial play**  $a \in \prod_{i < k} X_i$ , we have a **subgame**  $p_a: \prod_{i \geq k} X_i \rightarrow R$ ,

$$p_a(x) = p(a \cdot x).$$

**Corollary.** The function  $f_k: \prod_{i < k} X_i \rightarrow X_k$  defined by

$$f_k(a) = \left( \left( \bigotimes_{i=k}^{n-1} \varepsilon_i \right) p_a \right)_0$$

is an **optimal strategy** for playing the game.

This means that given that the sequence of moves  $a_0, \dots, a_{k-1}$  have been played, the move  $a_k = f_k(a_0, \dots, a_{k-1})$  is optimal.

## Second example

Choose  $R = \{-1, 0, 1\}$  instead, with the convention that

$$\begin{cases} -1 = \text{Abelard wins,} \\ 0 = \text{draw,} \\ 1 = \text{Eloise wins.} \end{cases}$$

The existential and universal quantifiers get replaced by **sup** and **inf**:

$$\phi_i = \begin{cases} \sup_{X_i} & \text{if } i \text{ is even,} \\ \inf_{X_i} & \text{if } i \text{ is odd.} \end{cases}$$

The optimal outcome is still calculated as  $\bigotimes_{i < n} \phi_i$ , which amounts to

$$\sup_{x_0 \in X_0} \inf_{x_1 \in X_1} \sup_{x_2 \in X_2} \inf_{x_3 \in X_3} \cdots p(x_0, \dots, x_{n-1}).$$

## Second example

The optimal outcome is

$$\left\{ \begin{array}{l} -1 = \text{Abelard has a winning strategy,} \\ 0 = \text{the game is a draw,} \\ 1 = \text{Eloise has a winning strategy.} \end{array} \right.$$

Can compute optimal outcomes, plays and strategies with the same formulas.

## History-dependent games

Allowed moves in the next round depend on the moves played so far.

The  $(n + 1)$ th selection function depends on the first  $n$  played moves.

It selects moves among the allowed ones, which depend on the played ones.



## History dependent games

The simplest case is that of a two-move game.

We assume that we have sets of moves  $X_0$  and  $X_1$ .

Once a move  $x_0 \in X_0$  has been played, the allowed moves form a set  $S_{x_0} \subseteq X_1$  that depends on  $x_0$ .

We want to account for situations such as

$$\forall x_0 \in X_0 \exists x_1 \in S_{x_0} p(x_0, x_1).$$

## Pubs again

$$\forall x_0 \in X_0 \exists x_1 \in S_{x_0} p(x_0, x_1).$$

For example, in every pub there are a man  $a_0$  and a woman  $a_1$  older than  $a_0$  such that if  $a_0$  buys a drink for  $a_1$ , then every man buys a drink for an older woman.

Here  $S_{x_0}$  is the set of women older than  $x_0$ , assumed to be non-empty.

This can be formalized by considering two quantifiers, the second of which has a parameter:

$$\phi_0 \in KRX_0, \quad \phi_1: X_0 \rightarrow KRX_1.$$

## In our running example

$$\begin{aligned}\phi_0(q) &= \forall x_0 \in X_0 q(x_0), \\ \phi_1(x_0)(q) &= \exists x_1 \in S_{x_0} q(x_1).\end{aligned}$$

Their history-dependent product is defined as the history-free product considered before, taking care of instantiating the parameter appropriately:

$$(\phi_0 \otimes \phi_1)(p) = \phi_0(\lambda x_0. \phi_1(x_0)(\lambda x_1. p(x_0, x_1))).$$

## Similarly

Given a selection function and a family of selection functions,

$$\varepsilon_0 \in JRX_0, \quad \varepsilon_1: X_0 \rightarrow JRX_1,$$

we define their history-dependent product

$$\begin{aligned} (\varepsilon_0 \otimes \varepsilon_1)(p) &= (a_0, a_1) \\ \text{where } a_0 &= \varepsilon_0(\lambda x_0. \overline{\varepsilon_1}(x_0)(\lambda x_1. p(x_0.x_1))) \\ a_1 &= \varepsilon_1(a_0)(\lambda x_1. p(a_0, x_1)), \end{aligned}$$

where it is understood that  $\overline{\varepsilon_1}(x_0) = \overline{\varepsilon_1(x_0)}$ .

## Exercise

Again we have

$$\overline{\varepsilon_0 \otimes \varepsilon_1} = \overline{\varepsilon_0} \otimes \overline{\varepsilon_1}.$$

This amounts to saying that

if  $\varepsilon_0$  is a selection function for the quantifier  $\phi_0$ ,

and if  $\varepsilon_1(x_0)$  is a selection function for the quantifier  $\phi_1(x_0)$  for every  $x_0 \in X_0$ ,

then  $\varepsilon_0 \otimes \varepsilon_1$  is a selection function for the quantifier  $\phi_0 \otimes \phi_1$ .

## We can iterate this

We are given a sequence of history dependent selection functions

$$\varepsilon_n: \prod_{i < n} X_i \rightarrow JRX_n.$$

We do this by induction, using the binary history dependent product in the induction step:

$$\begin{aligned} \bigotimes_{i < 0} \varepsilon_i &= \lambda p.(), \\ \bigotimes_{i < n+1} \varepsilon_i &= \varepsilon_0() \otimes \lambda x_0. \bigotimes_{i < n} (\lambda(x_1, \dots, x_i). \varepsilon_{i+1}(x_0, \dots, x_i)). \end{aligned}$$

## Haskell code

```
otimes :: J r x -> (x -> J r [x]) -> J r [x]
otimes e0 e1 p = a0 : a1
  where a0 = e0(\x0->overline(e1 x0)(\x1->p(x0:x1)))
        a1 = e1 a0 (\x1 -> p(a0:x1))
```

```
bigotimes :: [[x] -> J r x] -> J r [x]
bigotimes [] = \p -> []
bigotimes (e:es) =
  e[] 'otimes' (\x->bigotimes[\xs->d(x:xs) | d<-es])
```

## Implementation of games

We need to define a type  $R$  of outcomes, a type  $Move$  of moves, a predicate

$$p :: [Move] \rightarrow R$$

that gives the outcome of a play, and (history-dependent) **selection functions** for each stage of the game:

$$\text{epsilons} :: [[Move] \rightarrow J R Move]$$



## Implementation of games

Each different game needs a different definition

(R, Move, p, epsilons)

## Implementation of optimal plays

But all games are played in the same way, using the previous theorems:

```
optimalPlay :: [Move]
optimalPlay = bigotimes epsilons p
```

```
optimalOutcome :: R
optimalOutcome = p optimalPlay
```

```
optimalStrategy :: [Move] -> Move
optimalStrategy as = head(bigotimes epsilons' p')
  where epsilons' = drop (length as) epsilons
        p' xs = p(as ++ xs)
```

## Tic-Tac-Toe

```
data Player = X | O
```

The outcomes are  $R = \{-1, 0, 1\}$ .

```
type R = Int
```

The possible moves are

0	1	2
3	4	5
6	7	8

```
type Move = Int
```

```
type Board = ([Move], [Move])
```

## Tic-Tac-Toe

```
wins :: [Move] -> Bool
```

```
wins =
```

```
  someContained [[0,1,2], [3,4,5], [6,7,8],  
                [0,3,6], [1,4,7], [2,5,8],  
                [0,4,8], [2,4,6]]
```

```
value :: Board -> R
```

```
value (x,o) | wins x      = 1  
            | wins o      = -1  
            | otherwise   = 0
```

## Tic-Tac-Toe

```
outcome :: Player -> [Move] -> Board -> Board
```

```
outcome whoever [] board = board
```

```
outcome X (m : ms) (x, o) =  
  if wins o then (x, o)  
  else outcome O ms (insert m x, o)
```

```
outcome O (m : ms) (x, o) =  
  if wins x then (x, o)  
  else outcome X ms (x, insert m o)
```

We assume that player X starts, as usual:

```
p :: [Move] -> R  
p ms = value(outcome X ms ([], []))
```

## Tic-Tac-Toe

```
epsilon :: [[Move] -> J R Move]
epsilon = take 9 epsilon
  where epsilon = epsilonX : epsilon0 : epsilon
        epsilonX h = argsup ([0..8] 'setMinus' h)
        epsilon0 h = arginf ([0..8] 'setMinus' h)
```

## Let's run this

```
main :: IO ()
main = putStr
      ("An optimal play for Tic-Tac-Toe is " ++ show optimalPlay ++
       "\nand the optimal outcome is " ++ show optimalOutcome ++ "\n")
```

Compile and run:

```
$ ghc --make -O2 TicTacToe.hs
```

```
$ ./TicTacToe
```

```
An optimal play for Tic-Tac-Toe is [0,4,1,2,6,3,5,7,8]
and the optimal outcome is 0
```

## In pictures

X		

X		
	O	

X	X	
	O	

X	X	O
	O	

X	X	O
	O	
X		

X	X	O
O	O	
X		

X	X	O
O	O	X
X		

X	X	O
O	O	X
X	O	

X	X	O
O	O	X
X	O	X



## $n$ -Queens

We adopt the following conventions:

1. A solution is a permutation of  $[0..(n - 1)]$ , which tells where each queen should be placed in each row.
1. A move is an element of  $[0..(n - 1)]$ , saying in which column of the given row (=stage of the game) the queen should be placed.

## *n*-Queens

```
n = 8
type R = Bool
type Coordinate = Int
type Move = Coordinate
type Position = (Coordinate,Coordinate)

attacks :: Position -> Position -> Bool
attacks (x, y) (a, b) =
    x == a  ||  y == b  ||  abs(x - a) == abs(y - b)

valid :: [Position] -> Bool
valid [] = True
valid (u : vs) =
    not(any (\v -> attacks u v) vs) && valid vs
```

## *n*-Queens

```
p :: [Move] -> R
p ms = valid(zip ms [0..(n-1)])

epsilons :: [[Move] -> J R Move]
epsilons = replicate n epsilon
  where epsilon h = find ([0..(n-1)] 'setMinus' h)
```

## *n*-Queens

```
main :: IO ()
main = putStr
  ("An optimal play for " ++ show n ++ "-Queens is " ++
   show optimalPlay ++
   "\nand the optimal outcome is " ++ show optimalOutcome ++ "\n")
```

Compile and run:

```
$ ghci --make -O2 NQueens.hs
$ ./NQueens
```

and we get:

```
An optimal play for 8-Queens is [0,4,7,5,2,6,1,3]
and the optimal outcome is True
```

## 12-Queens

Get [0, 2, 4, 7, 9, 11, 5, 10, 1, 6, 8, 3] computed in five seconds.

## **The third lecture ended here**

We now move to a different topic, namely topology in computation.

# The Tychonoff Theorem

1. Close connection of some computational and topological ideas.
2. With applications to computation.
3. No background on topology required for the purposes of this lecture.

## The language spoken in Topology Land

Space.

Continuous function.

Compact space.

Countably based space.

Hausdorff space.

Cantor space.

Baire space.



## Guided tour to Topology Land

Call a set

1. **searchable** if it has a computable selection function, and
2. **exhaustible** if it has a computable boolean-valued quantifier.

I showed that

**Exhaustible and searchable sets are compact.**

Related to the well-known fact that

**Computable functionals are continuous.**

Finite parts of the output depend only on finite parts of the input.

## **A widely quoted topological slogan**

Infinite compact sets behave, in many interesting and useful ways, as if they were finite.

This matches computational intuition:

The ability to exhaustively search an infinite set, algorithmically and in finite time, is indeed a computational sense in which the set behaves as if it were finite.

It may seem surprising at first sight that there are such sets, but this was known in the 1950's or before.

## Topological properties

Compact sets of total elements form countably based Hausdorff spaces.

## Importing results from topology to computation

What happens if one looks at theorems in topology and applies the previous dictionary:

Exhaustible and searchable sets are compact.

Computable functions are continuous.

Searchable sets of total elements form countably based Hausdorff spaces.

## Some results in topology

1. Finite sets are compact, and hence for example the booleans are compact.

2. Arbitrary products of compact sets are compact (Tychonoff Theorem).

Hence the space of infinite sequences of booleans is compact.

This is the *Cantor space*.

2. Continuous images of compact sets are compact.

3. Any non-empty, countably based, compact Hausdorff space is a continuous image of the Cantor space.

## Applying the dictionary, we get

1. Finite sets are searchable, and hence for example the booleans are searchable.
2. Finite and countably infinite products of searchable sets are searchable.  
Hence the Cantor space is searchable.
3. Computable images of searchable/exhaustible sets are searchable/exhaustible.
4. Any non-empty exhaustible set is a computable image of the Cantor space.

## A souvenir from our excursion

Non-empty exhaustible sets are searchable.

This is computationally interesting:

If we have a search procedure that answers *yes/no* (given by a quantifier), we get a procedure that gives *witnesses* (given by a selection function).

## Haskell code

The Tychonoff Theorem is implemented by the history-free version `bigotimes` of the product of selection functions.

Hence a selection function for the Cantor space is given by

```
findCantor :: J Bool [Bool]
findCantor = bigotimes (repeat findBool)
```



## Haskell code

Computable images of searchable sets are searchable:

```
image :: (x -> y) -> J r x -> J r y
image f e = \q -> f(e(\x -> q(f x)))
```

## Application: deciding equality of functionals

Perhaps contradicting common wisdom, we write a total (!) functional that decides whether or not two given total functionals equivalent:

```
equal :: Eq z => ((Integer -> Bool) -> z)
         -> ((Integer -> Bool) -> z) -> Bool
```

```
equal f g = foreveryFunction(\u->f u == g u)
```

This doesn't contradict computability theory.

## Haskell code

Code functions `Integers->Bool` as lazy lists by storing **non-negative** and **negative** arguments at **even** and **odd** indices:

```
code :: (Integer -> Bool) -> [Bool]
code f = [f(reindex i) | i<-[0..]]
  where reindex i | even i      =      i 'div' 2
                  | otherwise = -((i+1)'div' 2)
```

## Haskell code

But actually we are interested in the opposite direction:

```
decode :: [Bool] -> (Integer -> Bool)
decode xs i | i >= 0    = xs 'at' (i * 2)
            | otherwise = xs 'at' ((-i * 2) - 1)
```

```
at :: [x] -> Integer -> x
at (x:xs) 0 = x
at (x:xs) (n+1) = at xs n
```

## Haskell code

```
findFunction :: J Bool (Integer -> Bool)
findFunction = image decode findCantor
```

```
forsomeFunction :: K Bool (Integer -> Bool)
forsomeFunction = overline findFunction
```

```
foreveryFunction :: K Bool (Integer -> Bool)
foreveryFunction p = not(forsomeFunction(not.p))
```

This completes the definition of the function `equal`.

## Experiment

Here the function `c` is a coercion:

```
c :: Bool -> Integer
c False = 0
c True  = 1
```

```
f, g, h :: (Integer -> Bool) -> Integer
f a = c(a(7 * c(a 4) + 4 * (c(a 7)) + 4))
g a = c(a(7 * c(a 5) + 4 * (c(a 7)) + 4))
h a = if not(a 7)
      then if not(a 4) then c(a 4) else c(a 11)
      else if a 4      then c(a 15) else c(a 8)
```

Are any two of these three functions equal?

## Experiment

When we run this, using the interpreter this time, we get:

```
$ ghci Tychonoff.hs
...
Ok, modules loaded: Main.
*Main> :set +s
*Main> equal f g
False
(0.02 secs, 4274912 bytes)
*Main> equal g h
False
(0.01 secs, 0 bytes)
*Main> equal f h
True
(0.00 secs, 0 bytes)
```

## Experiment

Where do f and g differ?

```
*Main> take 11 (code (findFunction(\u->g u /= h u)))  
[True,True,True,True,True,True,True,True,True,True,False]  
(0.05 secs, 3887756 bytes)
```

We believe that these ideas open up the possibility of new, useful tools for automatic program verification and bug finding.



## Monads

It turns out that the function  $\text{image} :: (x \rightarrow y) \rightarrow J\ r\ x \rightarrow J\ r\ y$  defined above is the functor of a monad.

The unit is

```
singleton :: x -> J r x
singleton x = \p -> x
```

The multiplication is

```
bigunion :: J r (J r x) -> J r x
bigunion e = \p -> e(\d -> overline d p) p
```

## The quantifier and selection monads in Haskell

We now write the monads using Haskell's conventions.

The above gets a bit cumbersome as Haskell requires tags for monads.

Hence we need functions for extracting tags  
(namely `quantifier` and `selection` below).

## The quantifier monad (that is, the continuation monad)

```
newtype K r x = K {quantifier :: (x -> r) -> r}
```

```
unitK :: x -> K r x
```

```
unitK x = K(\p -> p x)
```

```
functorK :: (x -> y) -> K r x -> K r y
```

```
functorK f phi = K(\q -> quantifier phi(\x -> q(f x)))
```

```
muK :: K r (K r x) -> K r x
```

```
muK phi = K(\p -> quantifier phi (\gamma -> quantifier gamma p))
```

```
instance Monad (K r) where
```

```
  return = unitK
```

```
  phi >>= f = muK(functorK f phi)
```

## Using this, we define the selection monad:

```
newtype J r x = J {selection :: (x -> r) -> x}
```

```
morphismJK :: J r x -> K r x
```

```
morphismJK e = K(\p -> p(selection e p))
```

```
unitJ :: x -> J r x
```

```
unitJ x = J(\p -> x)
```

```
functorJ :: (x -> y) -> J r x -> J r y
```

```
functorJ f e = J(\q -> f(selection e (\x -> q(f x))))
```

```
muJ :: J r (J r x) -> J r x
```

```
muJ e = J(\p -> selection(selection e  
    (\d -> quantifier(morphismJK d) p)) p)
```

```
instance Monad (J r) where
  return = unitJ
  e >>= f = muJ(functorJ f e)
```

## **Some consequences of having a monad**

More consequences in the papers.

## $\otimes$ is simply Haskell's prelude function sequence

Specialized to the continuation and selection monads.

```
sequence :: Monad m => [m a] -> m [a]
sequence = foldr mcons (return [])
  where mcons p q = p >>= \x->q >>= \y->return (x:y)
```

The function `mcons` is simply the binary  $\otimes$  generalized from the continuation and selection monads to any monad, and can be equivalently written as

```
mcons :: Monad m => m x -> m [x] -> m [x]
xm 'mcons' xsm =
  do x <- xm
     xs <- xsm
     return (x:xs)
```

**Moreover, sequence itself can be equivalently written as**

```
sequence :: Monad m => [m x] -> m[x]
sequence [] = return []
sequence (xm : xms) =
  do x <- xm
     xs <- sequence xms
     return(x : xs)
```

The history-dependent version of the infinite  $\otimes$  also generalizes to any monad:

```
hsequence :: Monad m => [[x] -> m x] -> m[x]
hsequence [] = return []
hsequence (xm : xms) =
  do x <- xm []
     xs <- hsequence[\ys -> ym(x:ys) | ym <- xms]
     return(x : xs)
```



## Thus

Our computational manifestation of the Tychonoff Theorem is already in the Haskell standard prelude, once one defines the selection monad.

## call/cc explained via the continuation and selection monads

The *type* of call/cc can be written as  $JKX \rightarrow KX$ .

(An instance of Peirce's Law, as discovered by Tim Griffin.)

Its  *$\lambda$ -term* can be reconstructed as follows:

1.  $KX$  is a  $K$ -algebra, with structure map  $\mu: KKX \rightarrow KX$ .
2. Because we have a morphism  $J \xrightarrow{\theta} K$ , every  $K$ -algebra is a  $J$ -algebra:

$$JA \xrightarrow{\theta_A} KA \xrightarrow{\alpha} A.$$

3. Call/cc is what results for  $A = KX$  and  $\alpha = \mu$ :

$$JKX \xrightarrow{\theta_{KX}} KKX \xrightarrow{\mu} KX.$$

## The Double-Negation Shift

$$\forall n \neg\neg(A_n) \implies \neg\neg\forall n (A_n).$$

Used by Spector'62 to realize the Classical Axiom of Countable Choice.

He used the *dialectica* interpretation.

We use Kreisel's modified realizability.

## *K*-shift

Generalized double negation shift.

Monad  $KA = (A \rightarrow R) \rightarrow R$ .

$\forall x K(Ax) \implies K\forall x (Ax)$ .

Algebra  $KA \rightarrow A$ : proposition  $A$  with double-negation elimination.

CPS-translation = Gödel–Gentzen negative translation.

## *J*-shift

Gives the double negation shift via the monad morphism  $J \rightarrow K$ .

Monad  $JA = (A \rightarrow R) \rightarrow A$ .

$\forall x J(Ax) \implies J\forall x (Ax)$  directly realized by  $\otimes$ .

Algebra  $JA \rightarrow A$ : proposition  $A$  that satisfies Peirce's Law.

Get translation based on  $J$  rather than  $K$ .

Get witnesses from classical proofs that use countable choice using  $\otimes$ .

## Concluding remarks

Diverse mathematical subjects coexist harmoniously and have a natural bed in functional programming:

1. game theory (optimal strategies),
2. topology (Tychonoff Theorem),
3. category theory (monads), and
4. proof theory (double-negation shift, classical axiom of choice).

## Selection functions everywhere

It is the **selection monad** that unifies these mathematical subjects.

Its associated product functional  $\otimes$

1. computes optimal strategies,
2. implements a computational manifestation of the Tychonoff Theorem,
3. realizes the double-negation shift and the classical axiom of choice.

**Thanks!**